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TRANSMITTAL	Application Number	09/963,698
FORM	Filing Date	September 26, 2001
(to be used for all correspondence after initial filing)	First Named Inventor	Francis Barany
gg pr. h	Group Art Unit	1639
•	Examiner Name	P. Ponnaluri

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Total Number of Pages in This Submission	8 & cited references	Attorney Docket Number	19603/3355 (CRF D-1595E)	
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Fee Transmittal Form  Fee Attached  Amendment / Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Supplemental Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/Incomplete Application  A copy of the Notice to Missing Parts	Assignm (for an A) Drawing Declarate Licensin Petition Petition Applicate Power of Change of Terminate Request	ion and Power of Attorney g-related Papers to Convert to a Provisional	<ul> <li>□ After Allowance Communication to Group</li> <li>□ Appeal Communication to Board of Appeals and Interferences</li> <li>□ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)</li> <li>□ Proprietary Information</li> <li>□ Status Letter</li> <li>□ Application Data Sheet</li> <li>□ Request for Corrected Filing Receipt with Enclosures</li> <li>☑ A self-addressed, prepaid postcard for acknowledging receipt</li> <li>☑ Other Enclosure(s) (please identify below):</li> <li>PTO-1449 (4 pages) (in duplicate)</li> <li>4 cited references</li> </ul>	
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Jo Ann Whalen

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# TRANSMITTAL FORM

FORM
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Application Number	09/963,698			
Filing Date	September 26, 2001			
First Named Inventor	Francis Barany			
Group Art Unit	1639			
Examiner Name	P. Ponnaluri			
Attorney Docket Number	19603/3355 (CRF D-1595E)			

	8 & cited references	Attorney Docket Number	19603/3355 (CRF D-1595E)
	ENCLOSU	RES (check all that apply)	
Fee Transmittal Form  Fee Attached  Amendment / Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Supplemental Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  A copy of the Notice to Missing Parts	Assignment Papers (for an Application)  Drawing(s)  Declaration and Power of Attorney  Licensing-related Papers  Petition  Petition to Convert to a Provisiona Application  Power of Attorney, Revocation Change of Correspondence Addres  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)		☐ After Allowance Communication to Group ☐ Appeal Communication to Board of Appeals and Interferences ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) ☐ Proprietary Information ☐ Status Letter ☐ Application Data Sheet ☐ Request for Corrected Filing Receipt with Enclosures ☑ A self-addressed, prepaid postcard for acknowledging receipt ☑ Other Enclosure(s) (please identify below): PTO-1449 (4 pages) (in duplicate) 4 cited references
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Signature	en B.	annolly	Registration No. 48,987
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Docket No.: 19603/3355 (CRF D-1595E)

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Applicants	:	Barany et al.	Examiner:
Serial No.	•	09/963,698	) P. Ponnalur
Sorrar 1 to.	•		Art Unit:
Cnfrm. No.	:	2018	1639
Filed	:	September 26, 2001	) ) )
For	:	DETECTION OF NUCLEIC ACID SEQUENCE DIFFERENCES USING THE LIGASE DETECTION REACTION WITH ADDRESSABLE ARRAYS	, ) ) )
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# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.97-1.98

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Dear Sir:

Pursuant to 37 CFR §§ 1.97-1.98, applicants hereby bring to the attention of the United States Patent and Trademark Office, the enclosed references listed on the attached PTO-1449 form.

Pursuant to 37 CFR § 1.98(d), the references 1-5 and 9-40 identified on the attached PTO-1449 form are not provided, because these references either were previously cited by or submitted to the PTO in the above referenced application or in the parent U.S. Patent Application Serial No. 08/794,851, filed February 4, 1997, of which this application claims priority under 35 U.S.C. § 120.

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Pursuant to 37 CFR § 1.17(p) and 37 CFR § 1.97(c), applicants hereby enclose a check in the amount of \$180.00. The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 14-1138.

Respectfully submitted,

Noreen L. Connolly

Registration No. 48,987

Date: (Ipul 20,2004

NIXON PEABODY LLP

Clinton Square, P.O. Box 31051 Rochester, New York 14603-1051

Telephone: (585) 263-1597 Facsimile: (585) 263-1600

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Substitut	e for form 1449	ADEMA?	H. Carl	C	omplete if Known
INFO	RMATION I	DISC	LOSURE	Application Number	09/963,698
STATEMENT BY APPLICANT				Filing Date	September 26, 2001
		First Named Inventor	Barany et al.		
(use as many sheets as necessary)			(אוי)	Art Unit	1639
		Examiner Name	P. Ponnaluri		
Sheet	1	of	4	Attorney Docket Number	19603/3355 (CRF D-1595E)

			U.S. PATENT DO	DCUMENTS	
Examiner Initials	Cite No.	U.S. Patent Document  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US-4,988,617	01/29/1991	Landegren et al.	
	2	US-5,516,635	02/16/1996	Ekins et al.	
	3	US-5,858,659	01/12/1999	Sapolsky et al.	
	4	US-6,143,495	11/07/2000	Lizardi et al.	
	5	US-6,506,594 B1	01/14/2003	Barany et al.	
	6	US-5,837,832	11/17/1998	Chee et al.	
	7	US-5,594,121	01/14/1997	Froehler	
	8	US-5,723,320	03/03/1998	Dehlinger	
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		FC	PREIGN PATENT	DOCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document  Kind Code <sup>5</sup> Country Code <sup>3</sup> Number <sup>4</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
	9	WO 92/10566	06/25/1992	WIPO		
	10	WO 98/03673 A	01/29/1998	WIPO		
	11	WO 00/56927 A3	09/28/2000	WIPO		
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Examiner	Date			
Signature	Considered	ĺ		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at 222 uspto gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (10-01)

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INFO	RMATION	DISC	LOSURE	Application Number	09/963,698
STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	September 26, 2001		
		First Named Inventor	Barany et al.		
		Group Art Unit	1639		
		Examiner Name	P. Ponnaluri		
Sheet	2	of	4	Attorney Docket Number	19603/3355 (CRF D-1595E)

		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	12	Belgrader et al., "A Multiplex PCR-Ligase Detection Reaction Assay for Human Identity Testing," Genome Science & Tech. 1:77-87 (1996)	
	13	Chee et al., "Accessing Genetic Information with High-Density DNA Arrays," <a href="Science">Science</a> 274:610-614 (1996)	
	14	Day et al., "Identification of Non-Amplifying CYP21 Genes When Using PCR-Based Diagnosis of 21-Hydroxylase Deficiency in Congenital Adrenal Hyperplasia (CAH) Affected Pedigrees," <u>Hum. Mol. Genet.</u> 5(12):2039-2048 (1996)	
	15	Drobyshev et al., "Sequence Analysis by Hybridization with Oligonucleotide Microchip: Identification of β-Thalassemia Mutations," <u>Gene</u> 188:45-52 (1997)	
	16	Fodor et al., "Multiplexed Biochemical Assays with Biological Chips," Nature 364:555-556 (1993)	
	17	Gerry et al., "Universal DNA Microarray Method for Multiplex Detection of Low Abundance Point Mutations," J. Mol. Biol. 292:251-262 (1999)	
	18	Hacia et al., "Detection of Heterozygous Mutations in <i>BRCA1</i> Using High Density Oligonucleotide Arrays and Two-Colour Fluorescence Analysis," Nat. Genet. 14:441-447 (1996)	
	19	Heller et al., "Discovery and Analysis of Inflammatory Disease-Related Genes Using cDNA Microarrays," Proc. Nat'l. Acad. Sci. USA 94:2150-2155 (1997)	
	20	Khanna et al., "Multiplex PCR/LDR for Detection of K-ras Mutations in Primary Colon Tumors," Oncogene 18:27-38 (1999)	
- 1	21	Khrapko et al., "A Method for DNA Sequencing by Hybridization with Oligonucleotide Matrix," J. DNA Seq. Map. 1:375-388 (1991)	
	22	Kozal et al., "Extensive Polymorphisms Observed in HIV-1 Clade B Protease Gene Using High-Density Oligonucleotide Arrays," Nature Medicine 2:753-759 (1996)	

Examiner	Date	
Signature	Considered	

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO Complete if Known 09/963,698 **Application Number** INFORMATION DISCLOSURE September 26, 2001 **Filing Date** STATEMENT BY APPLICANT Barany et al. First Named Inventor (use as many sheets as necessary) 1639 Group Art Unit P. Ponnaluri **Examiner Name** 3 of Sheet 4 Attorney Docket Number 19603/3355 (CRF D-1595E)

		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	23	R.J. Lipshutz et al., "Using Oligonucleotide Probe Arrays To Assess Genetic Diversity," <u>Biotechniques</u> 19:442-447 (1995)	
	24	Lysov et al., "DNA Sequencing by Hybridization to Oligonucleotide Matrix.  Calculation of Continuous Stacking Hybridization Efficiency," Journal of  Biomolecular Structure & Dynamics 11(4):797-812 (1994)	
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	28	Nonradioactive in situ Hybridization Manual from Boehringer Mannheim Biochemicals, page 1, 1992	
	29	Nucleic Acid Hybridization, A Practical Approach, page 6, edited by Hames & Higgins, 1985, Published by IRL Press Limited, P.O. Box 1, Eynsham, Oxford OX 8 1JJ, England.	
	30	Parinov et al., "DNA Sequencing by Hybridization to Microchip Octa- and Decanuleotides Extended by Stacked Pentanucleotides," <u>Nucleic Acids Res.</u> 24:2998-3004 (1996)	
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:	32	Schena et al., "Parallel Human Genome Analysis: Microarray-Based Expression Monitoring of 1000 Genes," Proc. Natl. Acad. Sci. USA 93:10614-10619 (1996)	
	33	Shalon et al., "A DNA Microarray System for Analyzing Complex DNA Samples Using Two-Color Fluorescent Probe Hybridization," Genome Res. 6:639-645 (1996)	

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	Signature	Considere	a

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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				Filing Date	September 26, 2001	
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				Examiner Name	P. Ponnaluri	
Sheet	4	of	4	Attorney Docket Number	19603/3355 (CRF D-1595E)	

		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published.	$T^2$
	34	Southern et al., "Analyzing and Comparing Nucleic Acid Sequences by Hybridization to Arrays of Oligonucleotides: Evaluation using Experimental Models," <u>Genomics</u> 13:1008-1017 (1992)	
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	36	Tong et al., "Biochemical Properties of a High Fidelity DNA Ligase from Thermus species AK16D," Nucleic Acids Research 27(3):788-794 (1999)	
	37	Van Ness et al., "A Versatile Solid Support System for Oligodeoxynucleotide Probebased Hybridization Assays," <u>Nucleic Acids Res.</u> 19:3345-3350 (1991)	
	38	Weber et al., "Abundant Class of Human DNA Polymorphisms Which Can Be Typed Using the Polymerase Chain Reaction,"	
	39	Yershov et al., "DNA Analysis and Diagnostics on Oligonucleotide Microchips," Proc. Natl. Acad. Sci. USA 93:4913-4918 (1996)	
	40	Zhang et al., "Single-base Mutational Analysis of Cancer and Genetic Diseases Using Membrane Bound Modified Oligonucleotides," <u>Nucleic Acids Res.</u> 19:3929-3933 (1991)	
	41	Janssen et al., "Evaluation of the DNA Fingerprinting Method AFLP as a New Tool in Bacterial Taxonomy," <i>Microbiology</i> 142:1881-1893 (1996)	
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Signature	Considered	

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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						Filing Date	September 26, 2001	
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	(use a	s many si	heeis as nec	cessary)		Art Unit	1639	
	<u>.</u>	· · ·				Examiner Name	P. Ponnaluri	
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			U.S. PATENT DOC	UMENTS	
Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	V				
	1	US-4,988,617	01/29/1991	Landegren et al.	
	2	US-5,516,635	02/16/1996	Ekins et al.	
-	3 .	US-5,858,659	01/12/1999	Sapolsky et al.	
	4	US-6,143,495	11/07/2000	Lizardi et al.	
	5	US-6,506,594 B1	01/14/2003	Barany et al.	
	6	US-5,837,832	11/17/1998	Chee et al.	
	7	US-5,594,121	01/14/1997	Froehler	
t.	8	US-5,723,320	03/03/1998	Dehlinger	
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	9	WO 92/10566	06/25/1992	WIPO		
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Examiner		Date	
Signature		 Considered	

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Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at 222 uspto gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitut	te for form 1449B/PTO		PRADEMARY	Complete if Known		
INFC	<b>DRMATION</b>	DISC	LOSTIRE	Application Number	09/963,698	
	TEMENT BY			Filing Date	September 26, 2001	
· WEIR,				First Named Inventor	Barany et al.	
•	(use as many sheets	as necessa	ury)	Group Art Unit	1639	
			T	Examiner Name	P. Ponnaluri	
Sheet	2	of	4	Attorney Docket Number	19603/3355 (CRF D-1595E)	

· .	·	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
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	13	Chee et al., "Accessing Genetic Information with High-Density DNA Arrays," <u>Science</u> 274:610-614 (1996)	
	14	Day et al., "Identification of Non-Amplifying CYP21 Genes When Using PCR-Based Diagnosis of 21-Hydroxylase Deficiency in Congenital Adrenal Hyperplasia (CAH) Affected Pedigrees," <u>Hum. Mol. Genet.</u> 5(12):2039-2048 (1996)	
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Examiner		Date	
Signature	**	Considered	

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute	for form 1449B/PTO	W.	TRADEMARY	Complete if Known			
INEO	RMATION D		TAMESTA AND A SECOND PROPERTY OF THE PERSON NAMED IN COLUMN TWO IS NOT THE PERSON NAMED IN COLUMN TO THE PERSON NAMED IN COLUM	Application Number	09/963,698		
				Filing Date	September 26, 2001		
STATEMENT BY APPLICANT				First Named Inventor	Barany et al.		
	(use as many sheets a	s necessa	(עי)	Group Art Unit	1639		
•				Examiner Name	P. Ponnaluri		
Sheet	3	of	4	Attorney Docket Number	19603/3355 (CRF D-1595E)		

<u> </u>		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	23	R.J. Lipshutz et al., "Using Oligonucleotide Probe Arrays To Assess Genetic Diversity," <u>Biotechniques</u> 19:442-447 (1995)	
	24	Lysov et al., "DNA Sequencing by Hybridization to Oligonucleotide Matrix.  Calculation of Continuous Stacking Hybridization Efficiency," Journal of  Biomolecular Structure & Dynamics 11(4):797-812 (1994)	
	25	Maskos et al., "A Study of Oligonucleotide Reassociation Using Large Arrays of Oligonucleotides Synthesised on a Glass Support," <u>Nucleic Acids Res.</u> 21:4663-4669 (1993)	
	26	Maskos et al., "A Novel Method for the Analysis of Multiple Sequence Variants by Hybridization to Oligonucleotides," <u>Nucleic Acids Res.</u> 21:2267-2268 (1993)	
	27	Nikiforov et al., "Genetic Bit Analysis: A Solid Phase Method for Typing Single Nucleotide Polymorphisms," <u>Nucleic Acids Res.</u> 22(20):4167-4175 (1994)	
	28	Nonradioactive in situ Hybridization Manual from Boehringer Mannheim Biochemicals, page 1, 1992	
	29	Nucleic Acid Hybridization, A Practical Approach, page 6, edited by Hames & Higgins, 1985, Published by IRL Press Limited, P.O. Box 1, Eynsham, Oxford OX 8 1JJ, England.	
	30	Parinov et al., "DNA Sequencing by Hybridization to Microchip Octa- and Decanuleotides Extended by Stacked Pentanucleotides," <u>Nucleic Acids Res.</u> 24:2998-3004 (1996)	
	31	Reed et al., "Chromosome-Specific Microsatellite Sets for Fluorescence-Based, Semi-Automated Genome Mapping," Nature Genetics 7:390-395 (1994)	
	32	Schena et al., "Parallel Human Genome Analysis: Microarray-Based Expression Monitoring of 1000 Genes," Proc. Natl. Acad. Sci. USA 93:10614-10619 (1996)	
	33	Shalon et al., "A DNA Microarray System for Analyzing Complex DNA Samples Using Two-Color Fluorescent Probe Hybridization," Genome Res. 6:639-645 (1996)	

Examiner	Date	
Signature	Considered	·

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449B/PTO 09/963,698 **Application Number** INFORMATION DISCLOSURE September 26, 2001 Filing Date STATEMENT BY APPLICANT Barany et al. First Named Inventor (use as many sheets as necessary) 1639 Group Art Unit P. Ponnaluri **Examiner Name** Sheet of Attorney Docket Number 4 19603/3355 (CRF D-1595E)

		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	34	Southern et al., "Analyzing and Comparing Nucleic Acid Sequences by Hybridization to Arrays of Oligonucleotides: Evaluation using Experimental Models," Genomics 13:1008-1017 (1992)	
	35	Timofeev et al., "Regioselective Immobilization of Short Oligonucleotides to Acrylic Copolymer Gels," Nucleic Acids Res. 24:3142-3148 (1996)	
	36	Tong et al., "Biochemical Properties of a High Fidelity DNA Ligase from Thermus species AK16D," Nucleic Acids Research 27(3):788-794 (1999)	
	37	Van Ness et al., "A Versatile Solid Support System for Oligodeoxynucleotide Probebased Hybridization Assays," <u>Nucleic Acids Res.</u> 19:3345-3350 (1991)	
	38	Weber et al., "Abundant Class of Human DNA Polymorphisms Which Can Be Typed Using the Polymerase Chain Reaction,"	
	39	Yershov et al., "DNA Analysis and Diagnostics on Oligonucleotide Microchips,"  Proc. Natl. Acad. Sci. USA 93:4913-4918 (1996)	
	40	Zhang et al., "Single-base Mutational Analysis of Cancer and Genetic Diseases Using Membrane Bound Modified Oligonucleotides," <u>Nucleic Acids Res.</u> 19:3929-3933 (1991)	
	41	Janssen et al., "Evaluation of the DNA Fingerprinting Method AFLP as a New Tool in Bacterial Taxonomy," Microbiology 142:1881-1893 (1996)	

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

#### Complete if Known FEE TRANSMITTAL Application Number 09/963,698 Ailing Date September 26, 2001 FOR FY 200# First Named Inventor Francis Barany APR 2 6 2004 Applicant claims small entity status. See 3 CFR 1.27 Applicant Claims Small entity Status. See 3 CFR 1.27 (\$) \$80 ADEMAN Examiner Name P. Ponnaluri Art Unit 1639 19603/3355 (CRF D-1595E) Attorney Docket No. TOTAL AMOUNT OF PAYMENT

METHOD OF PAYMENT (check all that apply)							<del></del>	FE	EE CALCULATION (continued)	
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Deposit	Nivon	Dooh	ody LLP		1053	130	1053	130	Non-English specification	
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			<b>ed to:</b> (check all that Credit any		1805	1,840*	1805	1,840*	action Requesting publication of SIR after Examiner	
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•			, except for the filing fee		1252	420	2252	210	Extension for reply within second month	
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1. BASIC FILIN	NG FEE	Ē			1255	2,010	2255	1,005	Extension for reply within fifth month	
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Fee Fee Code (\$)		Fee (\$)	Fee Description	Fee Paid	1402	330	2402	165	Filing a brief in support of an appeal	
(4)		( )	•		1403	290	2403	145	Request for oral hearing	
1001 770	2001	385	Utility filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1002 340	2002	170	Design filing fee		1452	110	2452	55	Petition to revive – unavoidable	
1003 530	2003	265	Plant filing fee		1453	1,330	2453	665	Petition to revive - unintentional	
1004 770	2004	385	Reissue filing fee		1501	1,330	2501	665	Utility issue fee (or reissue)	
1005 160	2005	80	Provisional filing fee		1502	480	2502	240	Design issue fee	
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		SUBT	OTAL (1) $(\$)$ 0		1460	130	1460	130	Petitions to the Commissioner	
					1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
2. EXTRAC	LAIM	FEES	FOR UTILITY AND	REISSUE	1806	180	1806	180	Submission of Information Disclosure Stmt	180
			Fee from		8021	40	8021	40	Recording each patent assignment per property	
Total Claims	-20	Ex 0**=	tra Claims below	Fee Paid	1809	770	2809	385	(times number of properties) Filing a submission after final rejection	
		•					}		(37 CFR 1.129(a))	
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Large Entity Fee Fee	Small Fee	Entity Fee	Fee Description		1802	900	1802	900	Request for expedited examination of a design application	
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1202 18	2202	9	Claims in excess of 20			` •	• • •			
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		No	oreen L. Connolly		_	tration N		48,987	Telephone (585) 263-159	7
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## FEE TRANSMITTAL FOR FY 2004

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180

Complete if Known						
Application Number	09/963,698	+				
Filing Date	September 26, 2001					
First Named Inventor	Francis Barany	+				
Examiner Name	P. Ponnaluri	-				
Art Unit	1639					
Attorney Docket No.	19603/3355 (CRF D-1595E)					

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)						
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Number	1051 130 1052 50	2051 65 25	Surcharge – late filing fee or oath				
Deposit Account Nivon Pochody I I P	1032 30	2052 25	Surcharge - late provisional filing fee or cover sheet				
Deposit Account Nixon Peabody LLP	1053 130	1053 130	Non-English specification				
Name TVIXON T Cabody LL1	1812 2,520	1812 2,520	For filing a request for ex parte reexamination				
The Commissioner is authorized to: (check all that apply)	1804 920*	1804 920*	Requesting publication of SIR prior to Examiner				
Charge fee(s) indicated below Credit any overpayments	1805 1,840*	1805 1,840*	action Requesting publication of SIR after Examiner				
Charge any additional fee(s)	1251 110	2251 55	action  Extension for reply within first month				
Charge fee(s) indicated below, except for the filing fee	1252 420	2252 210	Extension for reply within second month				
to the above-identified deposit account.	1253 950	2253 475	Extension for reply within third month				
FEE CALCULATION	1254 1,480	2254 740					
1. BASIC FILING FEE	1255 2,010	2255 1,005	Extension for reply within fourth month				
Large Entity Small Entity	1401 330	2401 165	Extension for reply within fifth month				
Fee Fee Fee Fee Description			Notice of Appeal				
Code (\$) Code (\$) Fee Paid	1402 330 1403 290	2402 165 2403 145	Filing a brief in support of an appeal	·			
1001 770 2001 385 Utility filing fee	1451 1,510	1451 1,510	Request for oral hearing  Petition to institute a public use proceeding				
outly ming ice	1452 110	2452 55	Petition to revive – unavoidable				
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1004 770 2004 385 Reissue filing fee	1501 1,330 1502 480	2501 665 2502 240	Utility issue fee (or reissue)				
1005 160 2005 80 Provisional filing fee	1502 480 1503 640	2502 240 2503 320	Design issue fee Plant issue fee				
SUBTOTAL (1) (\$) 0	1460 130	1460 130	Petitions to the Commissioner				
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2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1807 50	1807 50	Processing fee under 37 CFR 1.17(q)				
Fee from	1806 180 8021 40	1806 180 8021 40	Submission of Information Disclosure Stmt	180			
Extra Claims below Fee Paid	1 6021 40	3021 .40	Recording each patent assignment per property (times number of properties)				
Total Claims	1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))				
Independent3** = X = 0	1810 770	2810 385	For each additional invention to be examined				
Multiple Dependent X = 0	1801 770	2801 385	(37 CFR 1.129(b)) Request for Continued Examination (RCE)				
Large Entity Small Entity	1802 900	1802 900	Decree for any distribution of the second se				
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1202 18 2202 9 Claims in excess of 20							
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Name (Print/Type) Noreen L. Connolly	Registration N (Attorney/Age)		Telephone (585) 263-159	7			
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